

Bank completion: New Account Signer Change Ownership Change Renewal

CIF # _____ Account #(s) _____



CERTIFICATION OF BENEFICIAL OWNERSHIP

Persons opening or making changes to an account on behalf of a Legal Entity must provide the following information prior to account opening or CD renewal; as well as when other events happen such as a change in ownership or signers.

- 1) **Name** and **Title** of Natural Person opening account: _____
- 2) **Name**, and **Type** of Legal Entity for which the account is being opened

- 3) **Physical Address** of Legal Entity for which the account is being opened

- 4) **Tax ID Number (EIN)** of Legal Entity for which the account is being opened _____
- 5) The following information for each individual*, if any, who directly or indirectly, through any contract, arrangement, understanding, relationship, or otherwise, owns 25% or more of the equity interests of the Legal Entity listed above. If the entity is owned by one or more other entities, determine the actual human owners.
 - i When no individual(s) qualify as BO, put **“Not Applicable” and explain** (All <25%; Charity/Non-Profit; etc.)
 - ii **Tax ID # US=SSN or ITIN; Non-US=SSI # or Passport # and Country**
 - iii A valid, unexpired, **photo ID for each** individual beneficial owner. A photo copy is acceptable as long as it is clear and the information is easily discernable.

Beneficial Owner(s)

(1) Full Name			% ownership:	
Tax ID # (see above note)			Date of Birth	
Physical Address (Home or Business)	Street			
	City	State	Zip	
ID Relied On	ID Type	State/country of issuance		
	Number			
	Issue Date	Expiration Date		
(2) Full Name			% ownership:	
Tax ID # (see above note)			Date of Birth	
Physical Address (Home or Business)	Street			
	City	State	Zip	
ID Relied On	ID Type	State/country of issuance		
	Number			
	Issue Date	Expiration Date		
(3) Full Name			% ownership:	
Tax ID # (see above note)			Date of Birth	
Physical Address (Home or Business)	Street			
	City	State	Zip	
ID Relied On	ID Type	State/country of issuance		
	Number			
	Issue Date	Expiration Date		

Beneficial Owner

(4) Full Name			% ownership:	
Tax ID # <small>(see above note)</small>			Date of Birth	
Physical Address (Home or Business)	Street			
	City		State	Zip
ID Relied On	ID Type		State/country of issuance	
	Number			
	Issue Date		Expiration Date	

***Beneficial Owner Detail:** As applicable, explain any layers of Beneficial Ownership, etc. (For example, ABC Co. is 50% owned by 123 Corp. 123 Corp. is 50% owned by John Doe; therefore, John is a 25% Beneficial Owner of ABC Co.)

- 6) The following information for **one** individual with significant responsibility for managing the Legal Entity listed above:
- An executive officer or senior manager (e.g. Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or, Any other individual who regularly performs similar functions. (If appropriate, an individual listed under section (III) above may also be listed in this section)
 - A valid, unexpired, **photo ID for Control Individual**. A photo copy is acceptable as long as it is clear and the information is easily discernable. The image must be legible after being scanned into the bank system.

Control Information

Full Name			Title	
Tax ID # <small>(see above note)</small>			Date of Birth	
Physical Address (Home or Business)	Street			
	City		State	Zip
ID Relied On	ID Type		State/country of issuance	
	Number			
	Issue Date		Expiration Date	

Attestation:

I, _____, *(Name of natural person listed in item 1 above)*, hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

I also agree to notify Central Bank of any changes to the ownership and control individual information reported on this form in a timely manner.

Signature: _____ Date: _____